

12/11/01

1129 U.S. PTO

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# UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.  
**GWS/18240CIP**

10/014387

12/11/01

## TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, **David Ian FLYNN**, entitled **FILTERED CATHODE ARC SOURCE DEPOSITION APPARATUS**, for a(n):

- ( ) Original Patent Application.  
(X) Continuing Application (prior application not abandoned):  
    ( ) Continuation      ( ) Divisional      (X) Continuation-in-part (CIP)  
        of prior Application No. **08/894,419**, filed on **November 21, 1997**.  
    ( ) A statement claiming priority under 35 USC § 120 has been added to the specification.

### Enclosed are:

- (X) Specification; **21** Total Pages.      (X) Drawing(s); **9** Total Sheets.  
( ) Oath or Declaration:  
    ( ) A Newly Executed Combined Declaration and Power of Attorney:  
        ( ) Signed.      ( ) Unsigned.      ( ) Partially Signed.  
    ( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  
        ( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.  
        ( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).  
    ( ) Power of Attorney.      (X) Return Receipt Postcard.  
    ( ) Associate Power of Attorney.      (X) A Check in the amount of **\$ 842.00** for the Filing Fee.  
    ( ) Preliminary Amendment.      ( ) Information Disclosure Statement and Form PTO-1449.  
    ( ) A Certified Copy of Priority Documents (if foreign priority is claimed).  
    ( ) Applicant claims small entity status.  
    ( ) Other: \_\_\_\_\_

| CLAIMS AS FILED                              |           |           |         |          |
|--|-----------|-----------|---------|----------|
| FOR  | NO. FILED | NO. EXTRA | RATE    | FEE      |
| Total Claims                                 | 21        | 1         | \$18.00 | \$18.00  |
| Independent Claims                           | 4         | 1         | \$84.00 | \$84.00  |
| Multiple Dependent Claim Fee (if applicable) |           |           |         | \$0.00   |
| Assignment Recording Fee (if applicable)     |           |           |         | \$0.00   |
| Basic Filing Fee                             |           |           |         | \$740.00 |
| Total Filing Fee                             |           |           |         | \$842.00 |

Please charge \$ \_\_\_\_\_ to Deposit Account No. \_\_\_\_\_ pursuant to 37 CFR § 1.25. At any time during the pendency of this application, the Commissioner is hereby authorized to charge any fees required or credit any overpayment to this Deposit Account. A duplicate copy of this sheet is enclosed for fee processing against this Deposit Account.

Respectfully submitted,  
By: \_\_\_\_\_  
Alan Israel, Attorney of Record, Reg. No. 27564

Date: December 11, 2001

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I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Assistant Commissioner for Patents  
Box Patent Application  
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Typed Name: Alan Israel  
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Date of Deposit: December 11, 2001